Active Inference on the Edge: A Design Study

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Abstract—Every year, the amount of data created by Internet of Things (IoT) devices increases; therefore, data processing is carried out by edge devices in close proximity. To ensure Quality of Service (QoS) throughout these operations, systems are supervised and adapted with the help of Machine Learning (ML). However, as long as ML models are not retrained, they fail to capture gradual shifts in the variable distribution, leading to an inaccurate view of the system state and poor inference. In this paper, we present a novel ML paradigm that is constructed upon Active Inference (ACI) – a concept from neuroscience that describes how the brain constantly predicts and evaluates sensory information to decrease long-term surprise. We implemented a use case, in which an ACI-based agent continuously optimized the operation on a smart manufacturing engine according to QoS requirements. The agent used causal knowledge to gradually develop an understanding of how its actions are related to requirements fulfillment, and which configurations to favor. As a result, our agent required 5 cycles to converge to the optimal solution.

Index Terms—Active Inference, Machine Learning, Edge Intelligence, Service Level Objectives, Markov Blanket

I. INTRODUCTION

Recent years have reported a constant transition of logic from the central cloud towards the edge of the network [1], thus, closer to the Internet of Things (IoT) devices that actually generate data. This transition includes the training of Machine Learning (ML) models (i.e., to save bandwidth and improve privacy), as well as data processing (i.e., to decrease latency) [2]. As soon as training has finished, ML models are a common measure to interpret and predict the behavior of distributed systems, e.g., to estimate the impact of redeployment [3] or forecast potential system failures [4], which must be circumvented to ensure the Quality of Service (QoS).

Contrarily to the cloud, resource-restricted edge devices do not dispose of a virtually unlimited amount of resources; to ensure QoS during operation, edge devices scale their service through local reconfiguration, commonly assisted by ML [5]. However, in many cases, these ML models are not retrained, although new observations would be available [3], [4]; this inevitably leads to an inaccurate view of the system state, which, in turn, decreases the quality of inferred configurations.

Imagine an elastic computing system, as envisioned in [6], which observes the system through a set of metrics, evaluates whether QoS requirements – also called Service Level Objectives (SLOs) – are fulfilled, and dynamically reconfigures the system to ensure SLOs are met. If the variable distribution changes and the ML model is not adjusted, this makes it impossible to interpret system metrics correctly, and any consequential reconfiguration will fail to fulfill its purpose.

Ensuring the precision of ML models requires continuous feedback mechanisms; this could, for example, be achieved by optimizing a value function, as in reinforcement learning [7], [8]. However, we believe that this requires a more holistic approach, which starts with making the SLOs first-class citizens during ML training. Further, any component that uses ML for inference should actively resolve or report ambiguities. Such a level of self-determination could be provided by Active Inference (ACI), a concept from neuroscience that describes how the brain constantly predicts and evaluates sensory information to decrease long-term surprise. In cases where ML training and inference are carried out in close proximity to the data source, i.e., on edge devices, ACI can ensure model accuracy whenever the accuracy drops. Equipped with ACI, edge devices could continuously infer system configurations that ensure QoS. Furthermore, ACI allows to develop causal understanding of a process; this raises the trust for inferred results [3], [9].

In this paper, we present a comprehensive design study of an ACI agent that optimizes the throughput in a smart factory. Agents operate autonomously and decentralized while ensuring the SLO compliance on their local edge devices. At its core, the agent follows an action-perception cycle where it first estimates which parameter assignments would violate given SLOs, then compares this expectation with new observations, and finally, adjusts its beliefs (i.e., the ML model) accordingly. While exploring the value space, it favors solutions that are likely to improve the model precision; this, in turn, provides the agent with a clear understanding of the causal relations between model variables. Hence, the contributions of this article are:

- A novel ML paradigm based on ACI that continuously evaluates the quality of inferred configurations. Thus, edge devices maintain QoS requirements fulfilled.
- The composite representation of agents’ behavior according to causal relations and empirical information. This increases trust and reproducibility of inferred results.
- A complete design study for a smart manufacturing use case that paves the way for other researchers to implement ACI in related automotive use cases.

The remainder of the paper is structured as follows: Section II provides background information on ACI principles in edge computing; Section III presents related work; in Section IV we outline the design process of an ACI agent, which we implement and evaluate in Section V. Finally, Section VI concludes the paper.

II. BACKGROUND

We consider ACI an unknown concept for most readers outside of neuroscience; therefore, we use this section to summarize core concepts of ACI according to Friston et al. [10]–[12].
This includes but is not limited to (1) free energy minimization, (2) hierarchical belief organization, (3) action-perception cycles, and (4) Bayesian inference and belief updating. Following that, we delineate our view of the intersection between ACI and distributed systems, in particular edge computing.

A. Active Inference Principles

To interpret observable processes, agents construct generative models, e.g., a person would reason that it rains due to water drops falling from the sky. Based on these observations, the agent can learn to understand real-world processes. However, if the generative model and the process diverge, the agent will eventually be "surprised", e.g., because water drops were caused by a neighbor watering her plants. The discrepancy (or uncertainty) between the agent’s understanding of the process and reality is called Free Energy (FE); a more accurate understanding decreases FE at the same time.

More formally, the surprise $\mathbb{S}(o|m)$ of observation $o$ given model $m$ is the negative log-likelihood of the observation. The surprise itself is capped by the FE of the model – expressed as the Kullback-Leibler divergence ($D_{KL}$) between approximate posterior probability ($Q$) of the hidden states ($x$) and their exact posterior probability ($P$). While mathematical approaches, such as exemplified in Eq. (1) & (2), provide a much-needed notation for working with the FE principle, in practice, many variables are computationally intractable, e.g., the true probability $P$.

\[
\mathbb{S}(o|m) = -\ln P(o|m)
\]

\[
F'[Q, o] = D_{KL}[Q(x)||P(x|o, m)] + \mathbb{S}(o|m) \geq \mathbb{S}(o|m)
\]

Internally, agents organize their generative models in hierarchical structures; each level interprets lower-level causes and, based on that, provides predictions to higher levels. For example, suppose it rains with a certain probability, I bring an umbrella. This is commonly known as Bayesian inference and allows agents to use existing beliefs (widely known as priors) to calculate the probability of related events. Such decision processes can be segregated into self-contained causal structures (i.e., Markov blankets), e.g., one to interpret the weather and another to dress. As the agent infers that it is raining, he decides to pick the umbrella.

ACI agents constantly engage in action-perception cycles, where they (1) predict sensory inputs, actively seek the information, and update their beliefs depending on the outcome – widely known as predictive coding. Afterward, they (2) can adjust the world to their existing beliefs (e.g., SLOs) through their own actions. While pragmatic actions (e.g., picking an umbrella) fulfill agents’ preferences (e.g., staying dry), agents improve their decision-making by exploring the environment through epistemic actions. For example, a mere look at the sky reveals that the neighbor watered her plants, avoiding surprise when wrongfully leaving with an umbrella. The agent thus updates its prior beliefs (i.e., rain $\rightarrow$ water) according to new data (i.e., rain $\rightarrow$ water $\leftrightarrow$ flowers) to form posterior beliefs.

B. ACI Principles in Distributed Systems

ACI encompasses multiple concepts; although there exist few implementations that combine them in one framework, most of them can be encountered in distributed systems. In the following, we review the principles described above and map them to existing concepts as far as possible:

1) Causal Inference: Causal structures (e.g., Bayesian networks [13]) can be trained to identify dependencies between parts of distributed systems. As pointed out by [14], causal structures have the fundamental advantage (over deep learning) of justifying their actions or recommendations, thus improving trustworthiness. Distributed systems can explain how metrics (e.g., latency or CPU load) are related to the system state [9], backtrack which service or device caused a system failure [3], or predict the impact of redeployment [15].

2) Free Energy Minimization: AI models are trained to improve their prediction accuracy, which, in turn, reduces their FE. Energy-based models [16], in particular, rate uncertainty as (free) energy. To ensure model accuracy over time, one option is to continuously report prediction errors (e.g., [17]). However, in many cases, systems lack adequate feedback loops and thus fail to capture gradual shifts in the variable distributions (e.g., [3, 15, 18]). While ML training is essential to decrease FE, epistemic actions often suffice to reduce uncertainties about expected outcomes: distributed systems resolve contextual information, for example, by identifying a low-utilized agent for tasks offloading [19], [20], or evaluating resource availability before scaling a system [5], [21]. There exists a general tradeoff between seeking either pragmatic value (exploitation) or information (exploration); multi-agent systems (e.g., [22]) control this through a hyperparameter called "exploration rate", which fosters early exploration of a global value space but decays over time as agents report little improvement. To improve generative models whenever feasible, this is also implemented for edge-based systems [19].

3) Homeostasis: The ultimate goal for an ACI agent is to persist over time; this requires maintaining certain internal variables under control. This concept is called homeostasis and can be found in various systems: the human body, for example, requires a core temperature of 37° for chemical processes; distributed systems, on the other hand, specify QoS requirements as SLOs [6], [21], [23], [24]. While the human body has its own temperature-controlling mechanisms, distributed systems rely on elasticity strategies to ensure QoS, e.g., by scaling computational resources to cap response time. Although surprise plays a significant role here, e.g., when reporting SLO violations, the preferred strategy is to engage with the environment to correct this instead of changing the perception.

III. RELATED WORK

While, to the best of our knowledge, there exists no complete implementation of ACI in distributed systems; a handful of research works have combined ACI with computer science:

the authors in [25] discuss ACI as a general computational framework, highlighting how existing research used ACI for (simulating) sensory processing. Touching on the design of
ACI agents, Heins et al. [26] provide a Python simulation that exemplifies how to structure action-perception cycles. Heins et al. further remark that existing ACI research largely focuses on formally constructing models in isolated environments such as Matlab SPM (e.g., [27]) rather than putting them into action, e.g., to improve the precision of ML models. A more hands-on application of ACI is thus to extend reinforcement learning with ACI principles [7], [8]. However, most research to date either uses only a few ACI principles or is not applied enough to easily transfer presented concepts to distributed systems.

The work in [22] is, therefore, an exception because it embeds ACI into the IoT and describes how ACI can improve the behavior of adaptive agents. Thus, individual agents may dynamically regroup into hierarchical teams, federate knowledge, and collectively strive after a common goal (i.e., a search task). By emphasizing the exchange of experiences between agents, they were able to speed up the convergence of the distributed task. However, while they focused on FE minimization, they did not treat the other two principles we identified for ACI in distributed systems: causal inference and homeostasis. In this paper, we will present an agent that uses all three ACI principles to infer actions, maintain agents’ internal equilibrium, and persist over time. Nevertheless, we will use the representation from [22] for FE minimization.

IV. Active Inference Design Process

In the following, we will walk through the design of an ACI agent by (1) building upon ACI background information to draw an action-perception loop, (2) describing a use case where the agent trains a model from scratch to optimize performance, (3) marking the boundaries of the generative model trained, and (4) defining the agent’s behavior throughout the cycles.

A. Action-Perception Loop

To continuously ensure the precision of ML models and any consequential action, we will employ self-evidenced agents, i.e., they reason about their environment and train models autonomously. To that extent, ACI agents operate in action-perception cycles; each iteration aims to improve the accuracy of the model, infer optimal actions, and thus persist over time. As such, agents can be embedded into distributed systems, e.g., to maintain the QoS for a distributed task.

Fig. 1 provides a high-level overview of the steps that are repeated by the agent: Initially, a set of SLOs define the agent’s preferences (e.g., $\delta$) and establishes its expectations prior to evaluating any sensory data. The agent then assembles a causal graph to determine which factors influence these parameters; the conditional probability table contains the degree to which they are affected. Moreover, the agent starts to continuously predict the probability of observations, might actively seek a corresponding input, and then compares the event against the expectation. To decrease FE, the agent now has three options: (1) adjust its beliefs accordingly, i.e., update the causal graph and conditional probabilities; (2) change the environment toward its preferences, e.g., executing elasticity strategies; or (3) resolve contextual information to improve decision-making.

B. Use Case Description

The following use case is embedded in the smart manufacturing environment, which provides numerous opportunities for sensor-oriented analysis and dynamic adaptation of production. Fig. 2 provides a high-level overview of the use case:

Within a factory, machine parts are fabricated in batches of 12 to 30 pieces; a larger batch size increases the throughput and utilization of the factory engine. Each batch must be completed within 500 ms; thus, an increasing batch size decreases the timeframe for processing each part. However, due to a consecutive assembly step, the distance between parts should be above 5 cm. The engine’s utilization is supposed to impact the processing duration, though the magnitude is unknown; furthermore, the engine is wearing off over time, which gradually changes the variable distribution. Given this setup, the factory manager would like to operate under the largest batch size that fulfills the constraints. However, it is not possible to train an ML model from (nonexistent) historical data or transfer the model from other engines due to their heterogeneous characteristics.

To maximize production, the factory manager employs an ACI agent that optimizes the batch size depending on the resulting SLO fulfillment. The underlying ML model is trained incrementally, on the edge of the smart engine; to continuously ensure the model precision, the agent (1) estimates if an increase or decrease in batch size would violate the given constraints (i.e., its SLOs), (2) compares the expectation with the result, and (3) continuously explores the value space by slightly varying the batch size. The agent thus gradually approaches
C. Generative Model Setup

While the use case showed how ACI can help solve optimization problems, we will now dive deeper into the generative model created by the agent. The design process is loosely oriented towards the guidance provided by Parr et al. [12], which depicts an abstract sequence of steps to design ACI systems. The main questions we aim to answer are:

1) What is part of the generative model, and what are the interfaces to the exterior?
2) What is the hierarchical and temporal depth of the model, and how do they affect causal inference?
3) What are the model variables and prior beliefs – what can be modified (i.e., learned), and what is immutable?

To predict whether a batch size would fulfill the SLOs, agents must identify the variables that have an impact on them. These could be extracted through a causal structure (e.g., [3], [15]) or, in the absence of training data, come from expert knowledge, which can be updated over time. The manager initially believes that variables are related as depicted by the Directed Acyclic Graph (DAG) in Fig. 3; the respective variables are described in Table I. Variables in ACI represent an interface between the generative model and the exterior world, i.e., if the utilization of the physical engine changes, this is reflected through the respective variable (i.e., utilization), which in turn determines the internal view of the system state. Information provided through interface variables is used to construct the generative model, but also to evaluate SLO fulfillment (e.g., batch delay ≤ 500 ms). To that extent, it needs to analyze the respective variable (from the DAG), as well as its parent, child, and spouse nodes. This subset provides a causal filter to the variable state, called Markov blanket. A central premise is that all these sensory variables accurately reflect the exterior; otherwise, subsequent decisions (e.g., decreasing batch size to decrease delay) would perpetuate any measurement error.

D. Active Inference Agent

To find the optimal batch size, the central mechanism of the agent is the action-perception cycle shown in Fig. 1. Initially, the agent has little information available to form priors or infer a fitting batch size; however, as the agent samples the environment through its interface variables, each cycle adds new observations (sₙ) to the total amount of known samples (sₖ). The agent’s behavior throughout each cycle (i.e., how it interprets sensory information and which action it takes) is determined by three main factors: (1) pragmatic value (pv) of actions; (2) ambiguity or risk assigned (ra) to actions; and (3) epistemic value or information gained (ig) by actions. The following notation of these factors is related to [12], [22], though the composition is different. The only parameter that the agent can actively set is batch size; the remaining variables are causally influenced by this factor. Thus, if the agent changes the batch size, this is reflected through the related variables.

The pragmatic value that emerges from higher batch size is simple: more throughput. Therefore, we define \( pv(bs) = bs \times \frac{100}{d} \), which encourages the agent to increase batch size. The multiplier \( \frac{100}{d} \) scales the factor to the range \([1, 100]\), which is equal for all three factors. Contrarily, high batch size might exceed \( bd \leq 500 \text{ms} \) or \( d \geq 5\text{cm} \), i.e., the SLOs associated with batch delay and distance (d). To evaluate the risk of violating the SLOs we consider how often past observations for a batch size (sₖ) have violated the SLOs. The ra, e.g., for batchsize = 20, would thus be determined by the rate between samples that fulfilled the SLOs and the total number of samples (|sₖ|); this is formalized in Eq. (3) & (5). As long as the list of samples for a batchsize (or short bs) is empty (i.e., \( |sₖ| = 0 \)), the agent interpolates the value with the prior and latter ra as reference points, e.g., if the agent knows ra(30) = 90 and ra(20) = 20,
in the absence of samples for batchsize = 25, it infers that ra(25) = 55. This interpolation is contained in Eq. (4).

\[ ra(bs) = 100 - \begin{cases} 
  \text{inter}(bs), & \text{if } |s_{kb}| = 0 \\
  \frac{\text{valid}(bs)}{\text{max}|s_{kb}|} \times 100, & \text{otherwise}
\end{cases} \tag{3} \]

\[ \text{inter}(bs) = ra_{i-1} + (bs - bs_{i-1}) \times \left(\frac{ra_{i+1} - ra_{i-1}}{bs_{i+1} - bs_{i-1}}\right) \tag{4} \]

\[ \text{valid}(bs) = \sum_{i=1}^{|s_{kb}|} ((bd_i \leq 500) \land (d_i \geq 5)) \tag{5} \]

The ig of an action is determined by the ambiguity that it resolves; in other words, we aim to make future predictions less surprising. Reviving the idea of surprise from Eq. (1), we now require the surprise for sn given sk: Eq. (7) shows how the total surprise is the sum of surprises of new samples; f(x) describes the probability density function\(^1\) with \( \mu = s_b \) and \( \sigma = s_k \). For each sn, the surprise is appended to a list of past surprises \( S = \text{Surprise}(s_n, s_k) \); \( S_k \) contains all values with \( x = \text{batchsize} \). If a batchsize has reported repeatedly surprising values, it supposedly provides more information gain: this is reflected through Eq. (6) because the median surprise (\( S_k \)) will rise above the global average (\( S \)). To foster exploration of prior unknown batchsize, in the absence of surprise values, e.g., \( |S_{25}| = 0 \), it assumes \( ra(25) = \max(S) \).

\[ ig(bs) = \left(\frac{S_{bs}}{S}\right) \times 100 \tag{6} \]

\[ \text{surprise}(s_n, s_k) = \sum_{i=1}^{s_{kb}} - \log f(d_i) \tag{7} \]

Ultimately, to evaluate the potentials but also risks that emerge from each batchsize, the three factors are merged into a common one \( -(cf) \). Since all factors are scaled to the range \([1,100]\), they can be combined as \( cf(bs) = pv(bs) - ra(bs) + ig(bs) \). At the end of each cycle, the agent resolves \( cf(x) \) for \( x = [12,30] \) and chooses the highest scoring as new batchsize.

V. EVALUATION

To evaluate the ideas presented in the last Section, we provide a Python implementation of the ACI agent that comprises the action-perception loop to create a generative model. Although we did not embed the agent in a physical engine to measure sensory information, we used a compatible data set generated with [28] to simulate an equal behavior. The prototype of the agent, the data, as well as the analysis are available on GitHub\(^2\).

\(^1\)A function that described the likelihood of an observation \( o \) in a continuous range given that the probabilities are distributed with \( O \sim N(\mu, \sigma) \).

\(^2\)https://anonymous.4open.science/r/analysis-20F6/DAT4/
according to new observations. Thus, edge devices can ensure that local processing complies with requirements. Our approach does not require an initial data set to train ML models – rather it trains the model incrementally according to new observations, thus canceling our data drifts. ML training happens locally on the network edge; thus, it is possible to observe with low latency how inferred device configurations impact the requirements and adapt the model accordingly. To that extent, the ACI agents make use of causal variable relations that determine how their actions affect SLO fulfillment; this increases the trustworthiness of inferred configurations.

To evaluate the presented ideas, we conducted a design study that optimizes the throughput for a smart manufacturing use case. Which action the agent takes and how it adapts its beliefs was determined by three main factors: pragmatic value, assigned risk (of violating SLOs), and information gain. We implemented the ACI agent in Python and tracked each cycle’s preferred action—including the factors that led to it—and the agent’s causal understanding between two variables. After 5 cycles, the agent converged to a solution that presented an optimal tradeoff between high pragmatic value and negligible SLO violations. Further, the agent needed only 30 observations (i.e., 2 cycles) to estimate a previously unknown variable relation. Based on these results, we see a strong potential for ACI to support elastic computing systems by continuously ensuring the precision of ML models. Nevertheless, future work must focus on more complex scenarios and ascertain how ACI performs when compared to other baselines.

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